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TECH CENTER 1300-2900

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00,1260)

In the Application of: )  
 )  
Barban )  
 )  
Serial No.: 09/719,437 )  
 )  
Filing Date: December 11, 2000 )  
 )  
For: HIV Virus Mimotopes )

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TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
  - a) Information Disclosure Statement;
  - b) PTO Form 1449 and 6 references cited therein; and
  - c) Return receipt postcard.
2. With respect to fees:
  - a) A fee is not required at this time.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on November 5, 2001.

Date: 11-5-01

Respectfully submitted,

Michael S. Greenfield  
Registration No. 37,142



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00,1260)

In the Application of:

Barban

Serial No.: 09/719,437

Filing Date: December 11, 2000

For: HIV Virus Mimotopes

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TECH CENTER 1650-2000

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the cited references are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief be in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231, on November 5, 2001.

Date: November 5, 2001

  
Michael S. Greenfield

**U.S. PATENT DOCUMENTS**

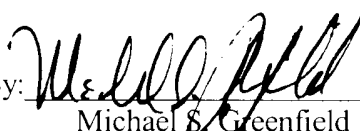
1. United States Patent No. 5,830,634 issued November 3, 1998

**FOREIGN PATENT DOCUMENTS**

2. PCT International Published Application No. WO 94/29339, Published On December 22, 1993
3. PCT International Published Application No. WO 95/12677, Published On May 11, 1995
4. PCT International Published Application No. WO 97/18236, Published On May 22, 1997
5. European Patent Office Application No. EP 0800 084 A, Published October 8, 1997
6. PCT International Published Application No. WO 99/12558, Published On March 18, 1999

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Date: November 5, 2001

By:   
Michael S. Greenfield  
Reg. No. 37,142

<b>FORM PTO-1449</b> (Rev. 2-32)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Atty. Docket No.</b> 00,1260	<b>Serial No.</b> 09/719,437
<div style="display: flex; align-items: center;">  <div> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>            (Use several sheets if necessary)         </div> </div>		<b>Applicant:</b> Barban.	
		<b>Filing Date:</b> December 11, 2000	<b>Group:</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial	Serial No.	Document Number	Date	Name	Class	Subclasses	Filing Date if Appropriate
	1	5,830,634	11/03/98	Stefan, et al.			

**FOREIGN PATENT DOCUMENTS**

Serial No.	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
2	WO 94/29339	12/22/94	PCT				
3	WO 95/12677	05/11/95	PCT				
4	WO 97/18236	05/22/97	PCT				
5	EP 0800 084 A	10/08/97	EPC				
6	WO 99/12558	03/18/99	PCT				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).**

<b>EXAMINER</b>			<b>DATE CONSIDERED</b>

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation not in conformance and not considered. Include copy of this form with next communication.